

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6133
P&S#: 5703
DATE: October 21, 2005
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Administration
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the Commission Settlement service, as detailed below:
First Trade Date, October 21, 2005:
MFS International Funds No. 5840
- An additional number has been assigned to Genesis Securities, LLC (#0288) for the purpose of utilizing the OTC Comparison, RECAPS and Envelope Settlement services, as detailed below:
First Trade Date, October 24, 2005:
Genesis Securities, LLC/B No. 0118
OTC Alpha Identifier: GNDT
Envelope Location: Y (New York)
- The following firm will no longer participate in the Trade Comparison and Trade Recording, Fund/SERV and Networking services as detailed below:
Last Trade Date, October 20, 2005
Wayne Hummer Investments LLC No. 0703
- The following firms will retire from all services as detailed below:
Last Trade Date, October 20, 2005:
SEI Investments Distribution Company/Amerindo Technology Fd. No. 5447

Last Trade Date, October 26, 2005:
TD Securities/CDS No. 8083
Norstar Securities Intl Inc/CDS No. 8096
Loewen, Ondaatje, Mccotcheon Ltd/CDS No. 8191

- Kindly update the NSCC Directory dated July 1, 2005 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, October 20, 2005:</u> Hallmark Investments, Inc.		0235	HMRK	
<u>Add First Trade Date, October 21, 2005:</u> Ault Glazer Bodnar Securities, LLC	BSSC	0352	AULT	
<u>Clearing Broker Change, Effective First Trade Date October 19, 2005:</u> From: Whitaker Securities, LLC To: Whitaker Securities, LLC	REFC PFSI	0116 0234	WTKR WTKR	
<u>Clearing Broker Change, Effective First Trade Date October 21, 2005:</u> From: InCapital, LLC To: InCapital, LLC		PERS 0443 0235	INCP INCP	
<u>Executing Broker Name and Clearing Broker Change, Effective First Trade Date October 26, 2005:</u> From: Burt Martin Arnold Securities, Inc To: BMA Securities	BHCS PFSI	0632 0234	BMAS BMAS	

- Kindly update the NSCC Directory dated July 1, 2005 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, October 21, 2005</u> Great Pacific Securities		SILK 0501	GPAC	
<u>Add First Trade Date, October 20, 2005:</u> JBS Securities Corp.		LABS 0215	JBSS	
<u>Add First Trade Date, October 24, 2005:</u> Electronic Brokerage Systems, LLC		BELZ 0627	BELB	
<u>Clearing Broker Change, Effective First Trade Date October 18, 2005</u> From: Dinosaur Securities, LLC To: Dinosaur Securities, LLC	REFC PFSI	0116 0234	DINO DINO	
From: Global Credit Partners, LLC To: Global Credit Partners, LLC	REFC PFSI	0116 0234	GCPL GCPL	

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
	<u>Clearing Broker Change, Effective First Trade Date October 20, 2005</u>			
From: The Vertical Group, Inc.	SHON	0064	VERT	
To: The Vertical Group, Inc.	PFSI	0234	VERT	

<u>Clearing Broker Change, Effective First Trade Date October 21, 2005:</u>				
From: Fifth Street Capital, LLC	BHCS	0632	GRCP	
To: Fifth Street Capital, LLC	LGNT	0052	GRCP	

<u>Clearing Broker Change, Effective First Trade Date October 26, 2005:</u>				
From: Basic Investors, Inc.	BHCS	0632	BSIC	
To: Basic Investors, Inc.	PFSI	0234	BSIC	

- Kindly update the NSCC Directory dated July 1, 2005 (for Municipal Bond Comparison List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, October 20, 2005:</u>				
Fifth Street Capital, LLC	BHCS	0632	GRCP	

<u>Add First Trade Date, October 26, 2005:</u>				
Basic Investors Inc.	PFSI	0234	BSIC	

<u>Clearing Broker Change, Effective First Trade Date October 24, 2005:</u>				
From: Seaboard Securities, Inc.	FCCP	0141	SEAB	
To: Seaboard Securities, Inc.	PFSI	0234	SEAB	

If you have any questions regarding this notice, please contact CarolAnn Amer at (212) 855-1691 or Kevin Launer at (212) 855-4132.