

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6148
P&S#: 5718
DATE: November 11, 2005
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the Fund/SERV and Networking, and ACATS Fund/SERV services, as detailed below:
First Trade Date, November 16., 2005:
SEI Trust Company No. 4952
Networking Code: 5I
Contact: Carl Bechdel
Phone: (610) 676-2195
- The following firm will begin participation in the Fund/SERV service, as detailed below:
First Trade Date, November 11, 2005:
JP Morgan Retirement Plan Services LLC No. 7147
- The following firm will begin participation in the ACATS Fund/SERV service, as detailed below:
First Trade Date, November 11, 2005:
Legg Mason Wood Walker Inc./Legg Mason Wood Walker Global Funds PLC No. 5833
- The following firm will no longer participate in the Trade Comparison and Trade Recording services as detailed below:
Last Trade Date, November 9, 2005:
Loewen, Ondaatje, McCutcheon Ltd No. 8191
- The following firms will retire from all services as detailed below:
Last Trade Date, November 16, 2005:
Loewen, Ondaatje, McCutcheon Ltd No. 8191
Terra Nova Trading, LLC/TRLD No. 3780

- Kindly update the NSCC Directory dated October 7, 2005 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, November 14, 2005:</u>				
Banc of America Investment Services, Inc.	QRCC	0158	QKRY	
Coker, Palmer, Phillips & Mullen, Inc.	QRCC	0158	CPPM	
<u>Add First Trade Date, November 8, 2005:</u>				
Atlantic Trading Partners Incorporated		0235	ATTR	
<u>Add First Trade Date, November 10, 2005:</u>				
Alexander Investment Services Co.		0235	ALIS	
<u>Clearing Broker Change, Effective First Trade Date November 30, 2005:</u>				
From: McGinn, Smith & Co. Inc.	BSSC	0352	MQIN	
To: McGinn, Smith & Co. Inc.	NFSC	0226	MQIN	

- Kindly update the NSCC Directory dated October 7, 2005 (for OTC Executed-By-List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, November 9, 2005:</u>				
Multi-Bank Securities, Inc.	QRCC	0158	MTBK	
<u>Clearing Broker Change, Effective First Trade Date November 9, 2005:</u>				
From: Sungard Institutional Brokerage Inc.	DBAB	0573	SIBI	
To: Sungard Institutional Brokerage Inc.	PERS	0443	SIBI	
<u>Clearing Broker Change, Effective First Trade Date November 11, 2005:</u>				
From: Sloan Securities Corp.	BHCS	0632	SLON	
To: Sloan Securities Corp.	QRCC	0158	SLON	
<u>Clearing Broker Change, Effective First Trade Date November 16, 2005:</u>				
From: Viewtrade Securities, Inc.	BHCS	0632	VIEW	
To: Viewtrade Securities, Inc.	PFSI	0234	VIEW	
<u>Clearing Broker Change, Effective First Trade Date November 30, 2005:</u>				
From: Goldman Capital Management, Inc.	NEUB	0155	GCMJ	
To: Goldman Capital Management, Inc.	JEFF	0019	GCMJ	

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Executing Broker Symbol Change and Executing Broker Name Change, Effective First Trade Date November 14, 2005:

From: Canyon Partners, Inc.
 To: Coldwater Securities Incorporated

BSSC	0352	CYON	
BSSC	0352	CLDS	

- Kindly update the NSCC Directory dated October 7, 2005 (for Municipal Bond Comparison List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

Add First Trade Date, November 8, 2005:
 Aegis Capital Corp.

QRCC	0158	AGIS	
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Add First Trade Date, November 16, 2005:
 Viewtrade Securities, Inc.

PFSI	0234	VIEW	
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Add First Trade Date, November 30, 2005:
 Goldman Capital Management, Inc.

JEFF	0019	GCFI	
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If you have any questions regarding this notice, please contact CarolAnn Amer at (212) 855-1691 or Kevin Launer at (212) 855-4132.