

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6166  
**P&S#:** 5736  
**DATE:** December 9, 2005  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Pablo Cubi  
Membership Services  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:  
**Last Trade Date, December 5, 2005:**  
Citigroup Global Markets, Inc./SCOREX No. 0083
- The following firm will begin participation in the AMEX Listed Comparison service, as detailed below:  
**First Trade Date, December 9, 2005:**  
BRUT, LLC No. 0568  
Listed Alpha Identifier BRUT
- The following firm will begin participation in the Fund/SERV and Networking services as detailed below  
**First Trade Date, December 8, 2005:**  
Wall Street Advisor Services, LLC No. 0626  
Networking Code: 50  
Steve Pearce  
Phone: (716) 843-9831
- The following firm will begin participation in the OTC Comparison Service, ACATS, RECAPS and Envelope Settlement services as detailed below:  
**First Trade Date, December 12, 2005:**  
Pru Global Securities, LLC No. 0104  
OTC Alpha Identifier: PRUG  
Envelope Location: (New York)

- An Additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:  
**First Trade Date, December 1, 2005:**  
 Quasar Distributors LLC/FIMCO Select Fund No. 5429  
 Networking Code: 3B  
 Casey Sauer  
 Phone: (414) 347-5766
- An additional number has been assigned to Transamerica Financial Life Insurance Company (#4561) for the purpose of utilizing the Insurance Processing service, as detailed below:  
**First Trade Date, December 12, 2005:**  
 Transamerica Financial Life Insurance Company/Retirement Division No. 4680
- The following firms will no longer participate in the Trade Comparison and Trade Recording services as detailed below:  
**Last Trade Date, December 7, 2005:**  
 Icahn and Company, Incorporated No. 0342  
  
**Last Trade Date, December 15, 2005:**  
 Computer Clearing Services, Inc. No. 0265
- The following firms will change their names only as detailed below:  
**First Trade Date, December 6, 2005:**  
 From: GMP Securities Ltd/CDS No. 5016  
 To: GMP Securities L.P./CDS No. 5016  
  
**First Trade Date, December 9, 2005:**  
 From: Zions Investment Securities, Inc. No. 0065  
 To: Zions Direct, Inc. No. 0065
- Kindly update the NSCC Directory dated October 7, 2005 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, December 7, 2005:</u></b> Sutter Securities Incorporated	BSSC	0352	SUTT	
<b><u>Delete Last Trade Date, December 15, 2005:</u></b> Computer Clearing Services, Inc. Wang Investment Associates, Inc.	CCLS	0265	CMCL	
	CCLS	0265	WANG	
<b><u>Add First Trade Date, December 7, 2005:</u></b> Alta Capital Group, LLC	QRCC	0158	ACGL	

- Kindly update the NSCC Directory dated October 7, 2005 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, December 7, 2005:</u></b> Supertrade Securities, Inc.	PFSI	0234	SUPS	
<b><u>Delete Last Trade Date, December 15, 2005:</u></b> Blacklake Securities Corporation Longplay Securities, Inc. Global American Investments Thor Trading, LLC	CCLS CCLS CCLS CCLS	0265 0265 0265 0265	BLCD PLAY SEGB THRT	
<b><u>Add First Trade Date, December 6, 2005:</u></b> Block Orders Execution, LLC	PFSI	0234	BLOX	
<b><u>Add First Trade Date, December 12, 2005:</u></b> OptionsXpress, Inc. Pacific West Securities, Inc.	FMAF WEDB	5008 0103	OXPC PACW	
<b><u>Clearing Broker Change, Effective First Trade Date December 12, 2005:</u></b> From: Stifel, Nicolaus & Company Incorporated To: Stifel, Nicolaus & Company Incorporated	LEGG STFL	0740 0793	SNCM SNCM	
<b><u>Clearing Broker Change, Effective First Trade Date December 16, 2005:</u></b> From: Craig-Hallum Capital Group LLC To: Craig-Hallum Capital Group LLC	WEDB MLCO	0103 0161	CHLM CHLM	

- Kindly update the NSCC Directory dated October 7, 2005 (for Municipal Bond Comparison List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, December 15, 2005:</u></b> Scottsdale Capital Advisors Corp.	CCLS	0265	SCAI	
<b><u>Add First Trade Date, December 12, 2005:</u></b> Sutter Securities Incorporated	PERS	0443	SUTT	
<b><u>Add First Trade Date, December 16, 2005:</u></b> Craig-Hallum Capital Group LLC	MLCO	0161	CHLM	

If you have any questions regarding this notice, please contact Kevin Launer at (212) 855-4132.