

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6175  
**P&S#:** 5745  
**DATE:** December 23, 2005  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Pablo Cubi  
Membership Services  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

**NOTE: Please disregard the notice to add Archipelago Brokerage Services, L.L.C., Executing Symbol ARCB, to clear through #0436 ACRA, which appeared on Important Notice A#6170 (P&S#5740) dated December 16, 2005.**

- The following member of The Canadian Depository for Securities Ltd. will begin participation in the OTC Comparison service, as detailed below:  
**First Trade Date, December 30, 2005:**  
TD Waterhouse – Norstar Securities/CDS No. 5061  
OTC Alpha Identifier NSIF
- The following firm will begin participation in the Commission Settlement service as detailed below:  
**First Trade Date, December 22, 2005:**  
SEI Investments Distribution Company/SEI Institutional No. 5717
- The following member of The Canadian Depository for Securities Ltd. will no longer participate in the OTC Comparison service, as detailed below:  
**Last Trade Date, December 29, 2005:**  
Norstar Securities Intl Inc/CDS No. 8096  
OTC Alpha Identifier NSIF
- The following firm will no longer participate in the FUND/SERV service as detailed below:  
**Last Trade Date, December 27, 2005:**  
MML Investors Services, Inc. No. 0255

- The following firms will no longer participate in the Trade Comparison and Trade Recording services as detailed below:

**Last Trade Date, December 21, 2005:**

NBCS Clearing/CDS No. 0457

**Last Trade Date, December 23, 2005:**

Computer Clearing Services, Inc. No. 0265

- The following firms will retire from all services as detailed below:

**Last Trade Date, December 27, 2005:**

NBCS Clearing/CDS No. 0457

MONY Securities Corporation No. 0082

- The following firm will change their name only as detailed below:

**First Trade Date, December 21, 2005:**

From: JPMorgan Distribution Services, Inc./JPM Funds No. 5798

To: JPMorgan Distribution Services, Inc./JPMorgan Off Shore Funds No. 5798

- Kindly update the NSCC Directory dated October 7, 2005 (for OTC Executed-By List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Delete Last Trade Date, December 20, 2005:**

Falcon Research, Inc. BSSC 0352 FLCN

**Add First Trade Date, December 27, 2005:**

Solaris Securities, Inc. NACI 0297 SOLB

**Clearing Broker Change, Effective First Trade Date December 21, 2005:**

From: Exane, Inc. BSSC 0352 EXAN

To: Exane, Inc. SILK 0501 EXAN

- Kindly update the NSCC Directory dated October 7, 2005 (for Municipal Bond Comparison List) as detailed below:

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>

**Clearing Broker Change, Effective First Trade Date December 23, 2005:**

From: Prim Securities, Incorporated BSSC 0352 PRIM

To: Prim Securities, Incorporated NFSC 0226 PRIM

If you have any questions regarding this notice, please contact Kevin Launer at (212) 855-4132.