

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6275  
**P&S#:** 5845  
**DATE:** July 21, 2006  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Pablo Cubi  
Membership Services  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firm will begin participation in the Fund/SERV and Networking services as detailed below:

**First Trade Date, January 19, 2006:**

Genworth Life and Annuity Insurance Company  
Networking Code:  
Contact: Todd Pitts  
Phone: (804) 484-7060

No. 5752  
VR

- The following firms will begin participation in the Commission Settlement services as detailed below:

**First Trade Date, January 20, 2006:**

Winslow, Evans and Crocker, Inc.

No. 0332

**First Trade Date, August 01, 2006:**

Quasar Distributors, LLC/Monetta Funds

No. 5766

- An additional number has been assigned to UMB Distribution Services, LLC/Yacktman Fund (#5774) for the purpose of utilizing the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, July 19, 2006:**

UMB Distribution Services, LLC/The Institute of Wealth Management  
Networking Code:  
Contact: Darren Siverling  
Phone: (414) 299-2160

No. 4889  
UU

- The following firms will retire from all services as detailed below:

**Last Trade Date, July 17, 2006:**

Allmerica Financial Life Insurance & Annuity Company/Interim Period. No. 4638

**Last Trade Date, July 21, 2006:**

Quasar Distributor, LLC/Optimum Q Funds No. 4847

- The following firm will change their name only as detailed below:

**First Trade Date, July 17, 2006:**

From: Business Men's Assurance Company of America No. 4562

To: Liberty Life Insurance Company No. 4562

- Kindly update the NSCC Directory dated July 07, 2006 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

|  | <u>Clearing Broker</u> |                | <u>Executing Broker</u> |                |
|--|------------------------|----------------|-------------------------|----------------|
|  | <u>Identifiers</u>     |                | <u>Identifiers</u>      |                |
|  | <u>Alpha</u>           | <u>Numeric</u> | <u>Alpha</u>            | <u>Numeric</u> |
| <b><u>Add First Trade Date, July 24, 2006:</u></b>                               |                        |                |                         |                |
| Kenneth, Jerome & Co, Inc.   | LGNT                   | 0052           | KJER                    |                |
| <b><u>Clearing Broker Change, Effective First Trade Date, July 19, 2006:</u></b> |                        |                |                         |                |
| From: Leeb Brokerage Services, Inc.  | PERS                   | 0443           | LEEB                    |                |
| To: Leeb Brokerage Services, Inc   | NFSC                   | 0226           | LEEB                    |                |

- Kindly update the NSCC Directory dated July 07, 2006 (for OTC Executed-By List) as detailed below:

|  | <u>Clearing Broker Identifiers</u> |                | <u>Executing Broker Identifiers</u> |                |
|--|------------------------------------|----------------|-------------------------------------|----------------|
|  | <u>Alpha</u>                       | <u>Numeric</u> | <u>Alpha</u>                        | <u>Numeric</u> |
| <b><u>Add First Trade Date, July 18, 2006:</u></b><br>Emerald Investments, Inc.  | PFSI                               | 0234           | EMII                                |                |
| <b><u>Add First Trade Date, July 20, 2006:</u></b><br>Kingside Partners, LLC   | SILK                               | 0501           | KPRT                                |                |
| <b><u>Add First Trade Date, August 01, 2006:</u></b><br>Octeg LLC  | WEDB                               | 0103           | OWED                                |                |
| <b><u>Clearing Broker Change, Effective First Trade Date, July 24, 2006:</u></b><br>From: Acme Securities Inc.<br>To: Acme Securities Inc. | NACI                               | 0297           | ACME                                |                |
|  | PCAP                               | 0608           | ACME                                |                |

- Kindly update the NSCC Directory dated July 07, 2006 (for Municipal Bond Comparison System) as detailed below:

|   | <u>Clearing Broker Identifiers</u> |                | <u>Executing Broker Identifiers</u> |                |
|---|------------------------------------|----------------|-------------------------------------|----------------|
|   | <u>Alpha</u>                       | <u>Numeric</u> | <u>Alpha</u>                        | <u>Numeric</u> |
| <b><u>Add First Trade Date, July 26, 2006:</u></b><br>AFS Brokerage, Inc. | NFSC                               | 0226           | AFSB                                |                |

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.