

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6297
P&S#: 5867
DATE: September 05, 2006
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:
Last Trade Date, August 30, 2006:
Wachovia Bank, NA-Phila Main No. 2163
:
Last Trade Date, August 31, 2006:
Citistreet Equities, LLC No. 4865

Last Trade Date, September 01, 2006:
Citibank-Private Banking Division No. 0909
- The following firm will no longer participate in the ACATS service, as detailed below:
Last Trade Date, September 22, 2006:
Butler, Wick & Co., Inc. No. 0732
- The following firm will no longer participate in the Trade Comparison and Trade Recording services as detailed below:
Last Trade Date, September 19, 2006:
Butler, Wick & Co., Inc. No. 0732

- The following firm will no longer participate in the AMEX Listed Comparison service, as detailed below:

Last Trade Date, August 29, 2006

Tradition Asiel Securities, Inc. No. 0370
Listed Alpha Identifier A

- The following firm will begin participation in the Commission Settlement, as detailed below:

First Trade Date, September 01, 2006:

SEI Investments Distribution Company/First Hawaiian Fun No. 5606

- The following firm will begin participation in the Fund/SERV, Networking, Commission Settlement, as detailed below:

First Trade Date, September 01, 2006:

MetLife Securities, Inc. No. 4865
Networking Code: GE
Contact: Robert Costello
Phone: (732) 326-4204

- An additional number has been assigned to NYLIFE Securities Inc. (#5539) for the purpose of utilizing the Fund/SERV, services, as detailed below:

First Trade Date, August 29, 2006:

NYLIFE Securities Inc./Collective Trust No. 5787

- The following firm will change its OTC and Municipal Bond Symbols, as detailed below:

Effective First Trade Date, September 06, 2006:

From: OptionsXpress, Inc. No. 0338
OTC and Municipal Bond Identifier: OXPZ

To: OptionsXpress, Inc. No. 0338
OTC and Municipal Bond Identifier: *OXPS

*For more details regarding "OXPS", please see page 3 of this notice.

- The following firms will change their name only as detailed below:

First Trade Date, September 01, 2006:

From: O'Connor & Company LLC No. 0695
To: Fortis Clearing Americas LLC No. 0695

From: O'Connor & Co LLC/Retail No. 0541
To: Fortis Clearing Americas LLC/Retail No. 0541

- Kindly update the NSCC Directory dated July 07, 2006 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, September 05, 2006:</u> *OptionsXpress, Inc.	SILK	0501	OXPS	
<u>Add First Trade Date, August 31, 2006:</u> Bley Investments Group, Inc.	PERS	0443	BLEY	
<u>Add First Trade Date, August 31, 2006:</u> J.P. Morgan Securities Inc.	NFSC	0226	PCSD	
<u>Add First Trade Date, September 01, 2006:</u> Private Equity Securities, Inc.	LGNT	0052	PESI	
<u>Add First Trade Date, September 05, 2006:</u> Signal Hill Capital Group LLC	JEFF	0019	SHCG	
<u>Add First Trade Date, September 05, 2006:</u> Legend Securities, Inc.	NACI	0297	LDSI	
<u>Clearing Broker Change, Effective First Trade Date, September 20, 2006:</u> From: Butler Wick & Co., Inc. To: Butler Wick & Co., Inc.	BUWI	0732	BUWI	0732
	FCCP	0141	BUWI	

- Kindly update the NSCC Directory dated July 07, 2006 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, August 29, 2006:</u> S.F. Investments	BSSC	0352	SFPS	
<u>Add First Trade Date, August 30, 2006:</u> Berkshire Asset Management Corp	PRST	0551	BAMS	
<u>Clearing Broker and Executing Broker Name Change,, Effective First Trade Date, September 06, 2006:</u> From: Cantella & Company Inc. To: Cantella & Co., Inc.	BSSC JEFF	0352 0019	CTLA CTLA	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.