

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6300
P&S#: 5870
DATE: September 08, 2006
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:
Last Trade Date, September 08, 2006:
Jefferson Pilot Securities Corporation/Windward Securities Corporation No. 5667

Last Trade Date, September 12, 2006:
Pioneer Investment Management USA, Inc./Dublin Funds No. 5589

Last Trade Date, September 18, 2006:
Northwestern Mutual Life Insurance Co./NMIS Compensation No. 4556
- An additional number has been assigned to Aquarius Fund Distributors, LLC (#4915) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services, as detailed below:
First Trade Date, September 08, 2006:
Aquarius Fund Distributors, LLC/Autopilot Managed Growth Fund 6506
Networking Code: R7
Contact: Brian Nielsen
Phone: (402) 493-3313 x7127
- The following firm will begin participation in the Networking service as detailed below:
First Trade Date, September 12, 2006:
Ohio National Life Insurance Company 4526
Networking Code: R6
Contact: Todd Brockman
Phone: (513) 794-6971

- Kindly update the NSCC Directory dated July 7, 2006 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, September 05, 2006:</u> Brokersxpress LLC Investors Resources Group, Inc.	SILK BSSC	0501 0352	BRXP IRGI	
<u>Add First Trade Date, September 08, 2006:</u> Shin Han Global Securities	PFSI	0234	CHKG	
<u>Add First Trade Date, September 11, 2006:</u> Milestone Financial Services, Inc.	LGNT	0052	MILS	
<u>Clearing Broker Change, Effective First Trade Date, September 08, 2006:</u> From: Itradedirect.com Corp To: Itradedirect.com Corp	NACI SALI	0297 0750	ITDC ITDC	

- Kindly update the NSCC Directory dated July 7, 2006 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, September 07, 2006:</u> Alaris Trading Partners, LLC Montecito Advisors, Inc.	SILK QRCC	0501 0158	DDAY MORF	
<u>Add First Trade Date, September 11, 2006:</u> Track (ECN)	WEDB	0103	TRAO	
<u>Clearing Broker Change, Effective First Trade Date, September 07, 2006:</u> From: Seaboard Securities, Inc. To: Seaboard Securities, Inc.	FCCP EDFM	0141 0650	SEAB SEAB	

If you have any questions regarding this notice, please contact Debra Mastrogiulio at (212) 855-1631.