

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6339
P&S#: 5909
DATE: November 17, 2006
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:
Last Trade Date, November 14, 2006:
Met Life Investors Insurance Company of California No. 4511
UMB Distribution Services, LLC/ICap Funds No. 5481

Last Trade Date, November 17, 2006:
Citco Mutual Fund Distributors, Inc. No. 4885

Last Trade Date, November 24, 2006:
Smith (R.W.) & Associates, Inc. No. 6780
- The following firms will no longer participate in the ACATS service, as detailed below:
Last Trade Date, November 29, 2006:
Citigroup Global Markets, Inc./Legg Mason Wood Walker, Inc. No. 0740

Last Trade Date, December 15, 2006:
BrownCo, LLC No. 0785
- The following firms will no longer participate in the Trade Comparison and Trade Recording services as detailed below:
Last Trade Date, November 15, 2006:
Citigroup Global Markets, Inc./Legg Mason Wood Walker, Inc. No. 0740

Last Trade Date, November 20, 2006:
BrownCo, LLC No. 0785

- The following firms will no longer participate in the Fund/SERV and Networking services as detailed below:

Last Trade Date, November 20, 2006:

First Command Financial Planning, Inc.

No. 0502

- The following participant of the Depository Trust Company will begin participation in the ACATS service as detailed below:

First Trade Date, November 14, 2006:

Fifth Third Bank (The) – DTC #2116

No. 2116

- An additional number has been assigned to Quasar Distributors, LLC (#5869) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACAT Fund/SERV services as detailed below:

First Trade Date, November 20, 2006:

Quasar Distributors, LLC/Quaker Investment Trust

4885

Networking Code:

XB

Contact: Casey Sauer

Phone: (414) 347-5766

- The following firm will change its OTC Symbol, as detailed below:

Effective First Trade Date, September 06, 2006:

From: E*Trade Capital Markets/CHX MSTR

No. 3501

OTC Identifier:

DFLR

To: E*Trade Capital Markets/CHX MSTR

No. 3501

OTC Identifier:

*ETLS

*For more details regarding “ETLS”, please see page 3 of this notice.

- The following firm will change their name only, as detailed below:

First Trade Date, November 06, 2006:

From: Citco Mutual Fund Distributors, Inc./Fairholme Funds, Inc.

No. 4870

To: Citco Mutual Fund Distributors, Inc.

No. 4870

- Kindly update the NSCC Directory dated September 29, 2006 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Delete Last Trade Date, November 20, 2006:</u> J.P. Morgan Institutional Investments Inc.	BRCO	0785	JPMA	
<u>Add First Trade Date, November 17, 2006:</u> Reuven Enterprises Securities Division, Inc.	PFSI	0234	REUV	

Add First Trade Date, November 20, 2006:

ASD Financial Services Corp
Cert Direct Securities Inc.

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
LGNT	0052	ASDF	
SALI	0750	CDSO	

Add First Trade Date, November 27, 2006:

R.W. Smith & Associates, Inc.

SWST	0279	RWSM	
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- Kindly update the NSCC Directory dated September 29, 2006 (for OTC Executed-By List) as detailed below:

Delete Last Trade Date, November 16, 2006:

* E*Trade Capital Markets LLC

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
DFLR	3501	ETLS	

Add First Trade Date, November 16, 2006:

Rosenblatt Securities Inc.

SILK	0501	ROSN	
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If you have any questions regarding this notice, please contact Debra Mastrogiulio at (212) 855-1631.