

IMPORTANT NOTICE

National Securities Clearing Corporation



A#: 6352
P&S#: 5922
DATE: December 01, 2006
TO: ALL PARTICIPANTS
ATTENTION: MANAGER, PURCHASES AND SALES DEPARTMENT
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS
MUNICIPAL BOND COMPARISON PARTICIPANTS
FROM: Pablo Cubi
Membership Services
SUBJECT: CHANGES IN THE LIST OF PARTICIPANTS *REVISED

- As a result of a merger of USAllianz Securities, Inc. has merged with Questar Capital Corp., with Questar as successor to the merger. As a result, Questar Capital Corp. will assume all open contracts of USAllianz Securities, Inc. Account #4288 will serve as the new primary account and account #0527 will become an additional account of #4288. The following name changes will occur:

First Trade Date, December 01, 2006:

From: USAllianz Securities, Inc. No. 4288
To: Questor Capital Corp. No. 4288

From: Questor Capital Corp. No. 0527
To: Questor Capital Corp./Secondary No. 0527

Note: Please direct all inquiries regarding the above to:

Questor Capital Corp., (#4288)
Contact Name: Andrew Tufford
Phone: (763) 765-7146

- The following firms will retire from all services as detailed below:

Last Trade Date, November 30, 2006:

Citigroup Global Markets, Inc./Legg Mason Wood Walker, Inc. No. 0740
Japan Securities Settlement & Custody, Inc. No. 2052

- The following firm will begin participation in the Insurance Processing service as detailed below:

First Trade Date, December 01, 2006:

Huntington National Bank No. 2305

- The following firm will begin participation in the Fund/SERV and Networking services as detailed below:
First Trade Date, November 29, 2006:
 Tanaka Funds, Inc. No. 5771
 Networking Code: B3
 Contact: Greg Getts
 Phone: (440) 492-0066 x101
- An additional number has been assigned to Upromise Investments, Inc. (#5704) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services as detailed below:
First Trade Date, December 01, 2006:
 Upromise Investments, Inc./Phoenix Platform Account No. 5670
 Networking Code: B4
 Contact: Sean McInerney
 Phone: (781) 707-8554
- An additional number has been assigned to ABN AMRO Investment Trust Company (#5493) for the purpose of utilizing the Fund/SERV, Networking, Commission Settlement, and ACATS Fund/SERV services as detailed below:
First Trade Date, December 01, 2006:
 ABN AMRO Investment Trust Company/AAIF Luxembourg/SICAV *No. 6504
 Networking Code: TR
 Contact: Denise Cunningham
 Phone: +352 2607-3322
- Kindly update the NSCC Directory dated September 29, 2006 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, November 29, 2006:</u>				
Nestlerode & Co., Inc.		0235	NSRD	
Etech Securities, Inc.	NACI	0297	ETEK	
<u>Clearing Broker Change, Effective First Trade Date November 29, 2006:</u>				
From: Evertrade Direct Brokerage Inc.	QRCC	0158	EVER	
To: Evertrade Direct Brokerage Inc.	PFSI	0234	EVER	
<u>Clearing Broker Change, Effective First Trade Date December 06, 2006:</u>				
From: Stanford Group Company	BSSC	0352	STFG	
To: Stanford Group Company	PERS	0443	STFG	
From: D.M. Kelly & Company		0235	DMKC	
To: D.M. Kelly & Company	FCCP	0141	DMKC	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, November 30, 2006:</u> Kildare Capital	SWST	0279	KILD	
<u>Add First Trade Date, December 04, 2006:</u> Wedbush Morgan Securities Inc.	WEDB	0103	WEDG	
<u>Add First Trade Date, December 15, 2006:</u> UNX, Inc.	SILK	0501	UNXD	
<u>Clearing Broker Change, Effective First Trade Date December 04, 2006:</u>				
From: Schonfeld Securities, LLC	SILK	0501	STRD	
To: Schonfeld Securities, LLC	PFSI	0234	STRD	
From: Collins Stewart Inc.	SILK	0501	CSTI	
To: Collins Stewart Inc.	NFSC	0226	CSTI	
From: Samurai Trading LCC	ROCC	0690	SAMU	
To: Samurai Trading LCC	SILK	0501	SAMU	

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	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<u>Add First Trade Date, December 01, 2006:</u> Royal Alliance Associates, Inc.	NFSC	0226	RAAI	
<u>Add First Trade Date, December 04, 2006:</u> Collins Stewart Inc.	NFSC	0226	CSTI	

If you have any questions regarding this notice, please contact Debra Mastrogiulio at (212) 855-1631.