

# IMPORTANT NOTICE

National Securities Clearing Corporation



**A#:** 6362  
**P&S#:** 5932  
**DATE:** December 15, 2006  
**TO:** ALL PARTICIPANTS  
**ATTENTION:** MANAGER, PURCHASES AND SALES DEPARTMENT  
CASHIER, FUND/SERV PARTICIPANTS, ACATS PARTICIPANTS  
MUNICIPAL BOND COMPARISON PARTICIPANTS  
**FROM:** Pablo Cubi  
Membership Services  
**SUBJECT:** CHANGES IN THE LIST OF PARTICIPANTS

- The following firms will retire from all services as detailed below:

**Last Trade Date, December 11, 2006:**

Summit Bank, N.A.

No. 6701

**Last Trade Date, December 13, 2006:**

Brownco, LLC

No. 0785

E\*Trade Clearing LLC (#0385) will assume all open contracts of Brownco, LLC (#0785)

**Note:** Please direct all inquiries regarding the above to:

E\*Trade Clearing LLC (#0385)

Contact Name: Daniel Stearns

Phone: (617) 624-6357

E-Mail Address: daniel.stearns@etrade.com

**Last Trade Date, December 15, 2006:**

AXA Advisors, LLC

Huntington Capital Corp.

No. 6656

No. 6882

**Last Trade Date, December 20, 2006:**

Franklin Templeton Bank & Trust, F.S.B.

No. 5967

- An additional number has been assigned to PFPC Distributors Inc. (#6658) for the purpose of utilizing the Fund/SERV, Networking, and ACATS Fund/SERV services, as detailed below:

**First Trade Date, December 18, 2006:**

PFPC Distributors Inc./Sterling Capital Small Cap Value Fund No. 5789  
 Networking Code: C4  
 Contact: Jayda Burke  
 Phone: (508) 871-3717

- The following firm will begin participation in the Commission Settlement service as detailed below:

**First Trade Date, December 13, 2006:**

Tamarack Distributors, Inc. No. 4945

- The following firm will begin participation in the Fund/SERV, Networking, and Insurance Processing services as detailed below:

**First Trade Date, December 14, 2006:**

Hartord Securities Distribution Company, Inc. No. 0765  
 Networking Code: O9  
 Contact: Elaine Parent  
 Phone: (860) 843-1981

- The following firm will change its NYSE/AMEX Listed Alpha Identifier, as detailed below:

**Effective First Trade Date, December 5, 2005:**

From: SG Americas Securities, LLC No. 0286  
 Listed Alpha Identifier: C

To: SG Americas Securities, LLC No. 0286  
 Listed Alpha Identifier: SG

- Kindly update the NSCC Directory dated September 29, 2006 (for OTC Executed-By-List and Municipal Bond Comparison System) as detailed below:

**Add First Trade Date, December 15, 2006:**

Pro Securities, L.L.C.  
 Morizio Associates, LLC

<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
MLCO	0161	PROS	
MLCO	0161	ISER	

- Kindly update the NSCC Directory dated September 29, 2006 (for OTC Executed-By List) as detailed below:

	<u>Clearing Broker Identifiers</u>		<u>Executing Broker Identifiers</u>	
	<u>Alpha</u>	<u>Numeric</u>	<u>Alpha</u>	<u>Numeric</u>
<b><u>Delete Last Trade Date, December 12, 2006:</u></b> Levco Securities, Inc.	BSSC	0352	LEVN	
<b><u>Add First Trade Date, December 12, 2006:</u></b> Wedbush Morgan Securities Inc.	WEDB	0103	WEDD	
<b><u>Add First Trade Date, December 14, 2006:</u></b> Pro Securities, L.L.C. Gotham Lawrence Corporation	PFSI SALI	0234 0750	PROO GOLA	

If you have any questions regarding this notice, please contact Kevin Edwards at (212) 855-1545.